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# Interatrial Shunting for Treating Heart Failure: Early and Late Results of the First-in-Human Experience With the V-Wave Interatrial Shunt System

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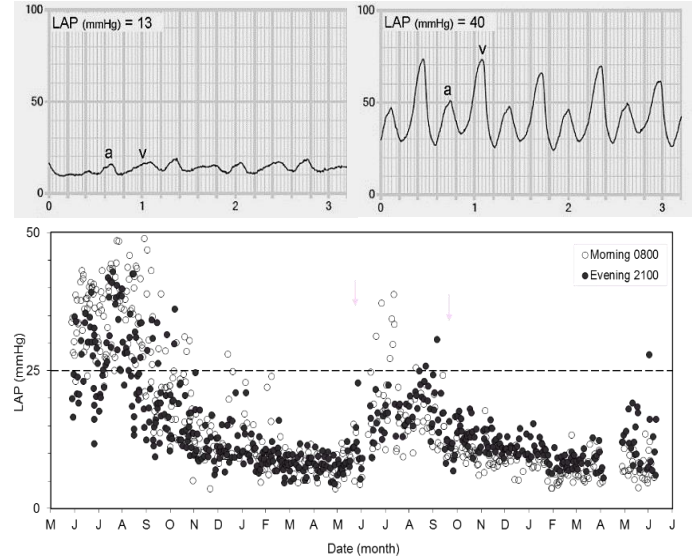
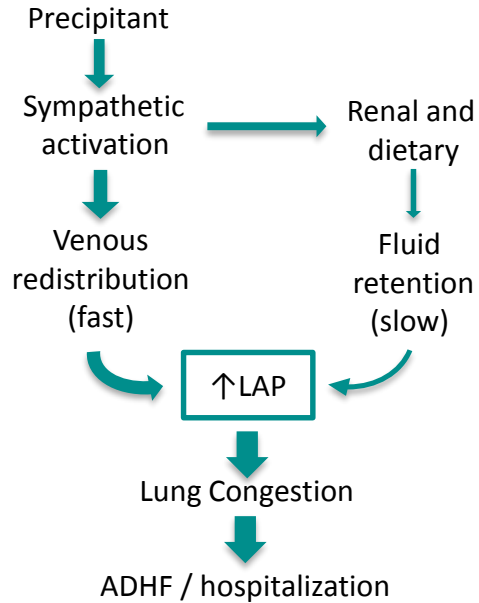
on behalf of V-Wave's FIM/SAP Investigators

# Disclosures

- Consultant for and institutional research grants from V-Wave Ltd.



# Elevated LAP: The Cause of Lung Congestion in ADHF



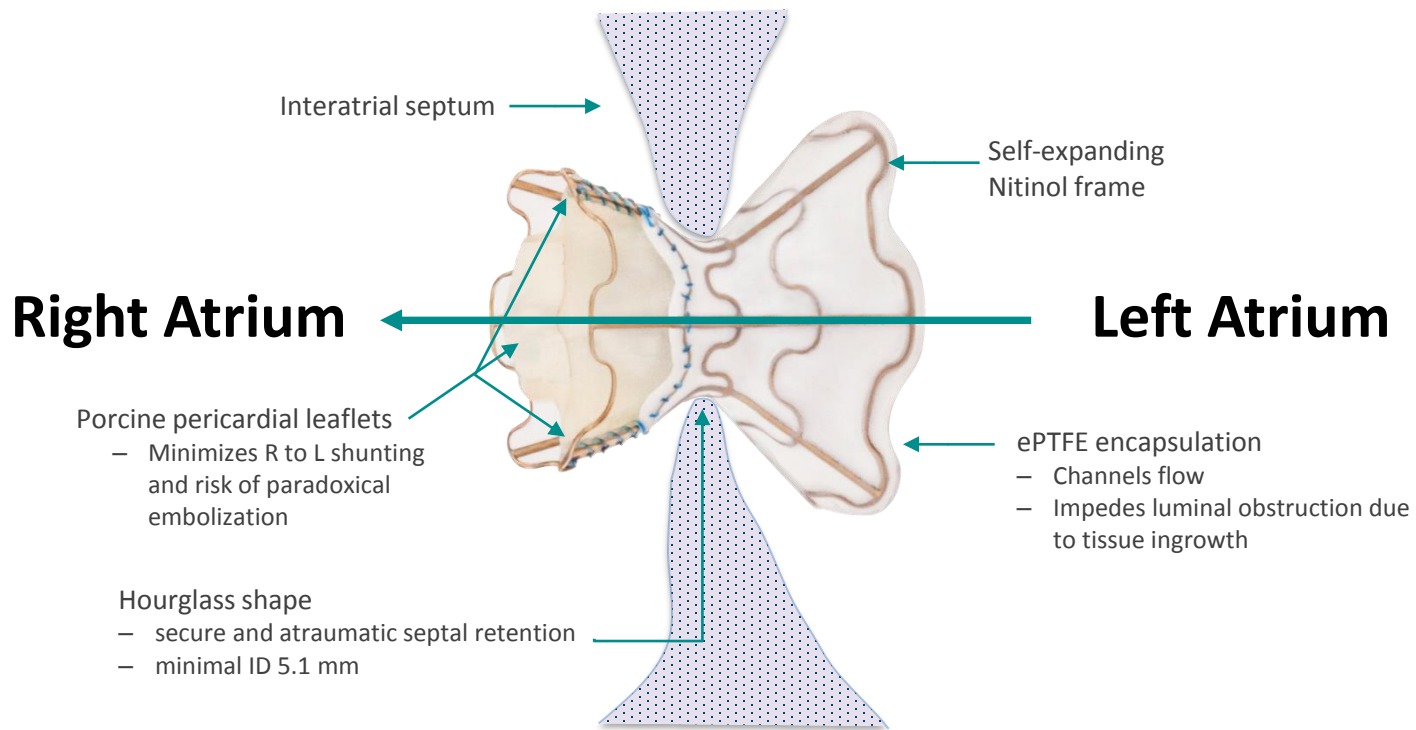
- LAP often highly variable over the course of a day
- Increase of LAP precedes clinical events, averaging >25 mmHg for several days before admission or death

HOMEOSTASIS: Ritzema. Circulation 2010



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# The V-Wave Shunt



# Objective

- First-in-human prospective multicenter open-label experience to assess the feasibility, safety and exploratory efficacy of interatrial shunting with the V-Wave system for patients with heart failure (reduced and preserved left ventricular ejection fraction)



# Outcomes

- **Primary**
  - *Safety*: device/procedure-related major adverse cardiovascular and neurological events (MACNE), defined as death, stroke, device embolization, pericardial effusion requiring intervention, re-intervention or surgery at 3- and 12-month follow-up
  - *Procedural success*: successful device implantation with no periprocedural death
- **Secondary**
  - *Safety*: all-cause MACNE, all serious adverse events (SAEs) and serious adverse device effects (SADEs)
  - *Exploratory efficacy*: changes in NYHA Class, quality of life, and 6MWT distance at 3- and 12-month follow-up



# Main Inclusion/Exclusion Criteria

## Inclusion Criteria

- Chronic HF of ischemic or non-ischemic etiology, HFrEF or HFpEF
- NYHA Class III or ambulatory Class IV
- On guideline driven maximally tolerated medical and device therapy
- HF-hospitalization in the prior 12 months or elevated NT-proBNP

## Exclusion Criteria

- LVEF < 15%
- Isolated right-sided HF
- Moderate-severe RV dysfunction
  - TAPSE < 11mm
- Severe pulmonary hypertension
  - PASP > 70mmHg
- Stroke or thromboembolism past 6 months
- eGFR < 25mL·min<sup>-1</sup>·1.73m<sup>-2</sup>



# Procedures and Assessments

- Procedures/Follow-Up
  - Transfemoral venous approach, general anesthesia, TEE guidance
  - Anticoagulation for at least 3 months
  - Study follow-up (1, 3, 6, 12m and yearly to 5 y)
- Assessments
  - NYHA Class
  - 6MWT
  - Quality of Life (KCCQ, MHLF)
  - Right heart cath (3, 12m)
  - NT-proBNP
  - TTE
  - TEE (1-3, 12m)





# Patient Population

**SAP** Special Access Program  
22 patients enrolled at 1 center in Canada

**FIM** First-In-Man Multicenter Feasibility Study  
16 patients enrolled in 5 centers in Israel and Spain

**Follow-up** 38 patients implanted (30 HFrEF, 8 HFpEF)  
28 month median follow-up (Range 18-48 months)



# Baseline Demographics

Variable	Patients (n=38)
<b>Demographics</b>	
Age, years	66±9
Male gender	35 (92)
Body mass index, kg/m <sup>2</sup>	30±6
<b>Medical history</b>	
NYHA class, %	III (97), IV (3)
Ischemic cardiomyopathy)	30 (79)
Myocardial infarction	26 (68)
Atrial fibrillation	20 (53)
Hypertension	32 (84)
Diabetes	26 (68)
Chronic kidney disease	23 (61)
Stroke	4 (11)
<b>Treatment history</b>	
ACE/ARB, : mg enalapril eq.	27 (71): 21±18
β Blocker, : mg carvedilol eq.	38 (100): 30±19
MRA, : mg spironolactone eq.	26 (68): 15±6
Loop Diuretic, : mg furosemide eq.	33 (87): 123±135
CRT-D or ICD	28 (74)
CRT	15 (39)

Variable	Patients (n=38)
<b>Laboratory / Echocardiography</b>	
eGFR, mL·min <sup>-1</sup> ·1.73 m <sup>-2</sup>	54 ± 20
NT-proBNP, pg/ml	2640 ± 2301
Ln NT-proBNP, pg/ml	7.5 ± 0.9
LVEF ≥ 0.40	21.1
LVEF, % (HFrEF)	26 ± 7
LVEF, % (HFpEF)	50 ± 9
6-Minute Walk Distance, m	289 ± 112
<b>Hemodynamics</b>	
Systolic BP, mmHg	116 ± 19
Diastolic BP, mmHg	66 ± 9
Heart Rate, bpm	69 ± 9
Pulmonary wedge pressure, mmHg	21 ± 5
Right atrial pressure, mmHg	8 ± 4
Pulmonary artery systolic pressure, mmHg	44 ± 11
Pulmonary artery mean pressure, mmHg	30 ± 7
Pulmonary vascular resistance, Wood Units	2.8 ± 1.6
Cardiac output, L·min <sup>-1</sup>	4.4 ± 0.9
Cardiac index, L·min <sup>-1</sup> ·m <sup>-2</sup>	2.2 ± 0.4



# Procedural and Safety Outcomes

- Shunt successfully implanted in 38/38 patients.
- Device or procedure related MACNE at 3M and 12M: 2.6%.
- All cause MACNE at 12M: 7.9%.

Patients (n=38)	
PROCEDURAL/IN-HOSPITAL	
Successful device implantation	38 (100)
Shunt patency at procedural TEE	38 (100)
Device embolization/dislocation	0
Need for a 2 <sup>nd</sup> device	0
Procedural time, min	72 ± 24
Hospitalization days (median, IQR)	1, 1-2
Device/procedure-related MACNE	
Cardiac tamponade	1 (2.6%)
SAFETY OUTCOMES (full 12-month follow-up)	
Cumulative device/procedure-related MACNEs	
Death	0
Stroke	0
Cardiac tamponade	1 (2.6)
Device embolization	0
Device infection	0
Reintervention or surgery	0
Overall device/procedure-related MACNE	1 (2.6)
Cumulative all-cause MACNEs	
Death	2 (5.2)
Stroke	0
Systemic embolism	0
Ventricular tachycardia	1 (2.6)
Myocardial infarction	0



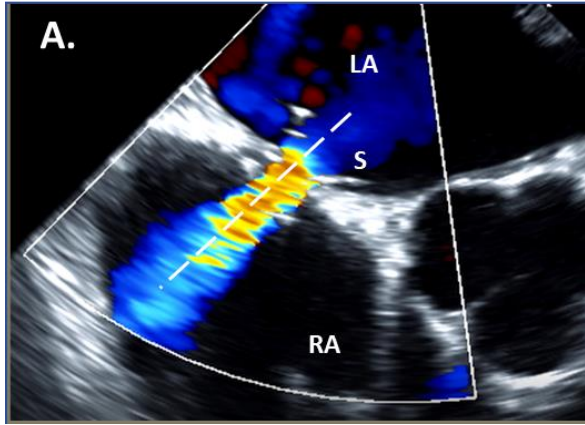
# Functional, Echo and Hemodynamic Parameters

Variable	Baseline (n=38)	3 Months (n=36)	12 months (n=36)	*p-value
<b>Functional Status/Quality-of-Life</b>				
NYHA III-IV	38 (100)	8 (22)	14 (39)	<0.001
NYHA I-II	0 (0)	28 (78)	22 (61)	
KCCQ/MLHFQ (improve $\geq 5$ points)	-	27 (74)	26 (73)	<0.001
6-MWT (m)	290 $\pm$ 112	340 $\pm$ 94	324 $\pm$ 105	0.012
<b>Laboratory parameters</b>				
Ln NT-pro BNP (pg/mL)	7.5 $\pm$ 0.9	7.4 $\pm$ 1.0	7.5 $\pm$ 0.9	0.83
eGFR (ml·min <sup>-1</sup> ·1.73 m <sup>-2</sup> )	54 $\pm$ 20	55 $\pm$ 23	53 $\pm$ 22	0.92
<b>Echocardiographic variables</b>				
LVEF (HFrEF, %)	26 $\pm$ 7	27 $\pm$ 9	28 $\pm$ 8	0.54
LVEF (HFpEF, %)	50 $\pm$ 9	52 $\pm$ 10	54 $\pm$ 9	0.74
MR Grade	3.9 $\pm$ 1.5	3.5 $\pm$ 1.2	3.5 $\pm$ 1.3	0.51
LAVI (ml/m <sup>2</sup> )	42 $\pm$ 13	42 $\pm$ 13	41 $\pm$ 15	0.84
TAPSE (mm)	16 $\pm$ 4	17 $\pm$ 4	16 $\pm$ 4	0.94
Qp/Qs	0.99 $\pm$ 0.11	1.17 $\pm$ 0.12	1.10 $\pm$ 0.13	0.005
<b>Hemodynamics</b>				
PCWP (mmHg)	21 $\pm$ 5	20 $\pm$ 7	19 $\pm$ 7	0.49
RAP (mmHg)	8 $\pm$ 4	9 $\pm$ 5	9 $\pm$ 4	0.51
PAP, mean (mmHg)	30 $\pm$ 7	29 $\pm$ 8	30 $\pm$ 10	0.97
CI (L/min/m <sup>2</sup> )	2.2 $\pm$ 0.4	2.4 $\pm$ 0.4	2.3 $\pm$ 0.5	0.27
PVR (Wood Units)	2.8 $\pm$ 1.6	2.6 $\pm$ 1.1	2.8 $\pm$ 1.9	0.73

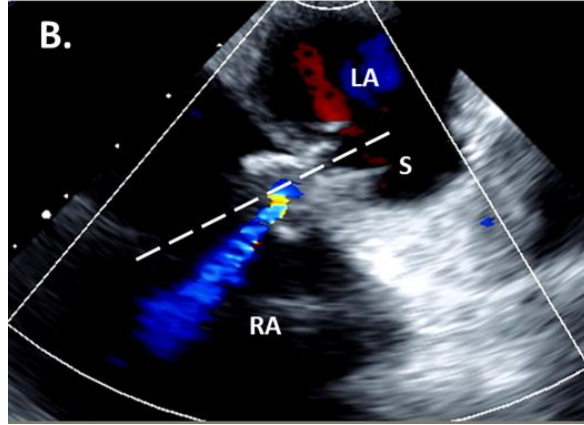


# Shunt Valve Function at 1-3 and 12 Months (TEE)

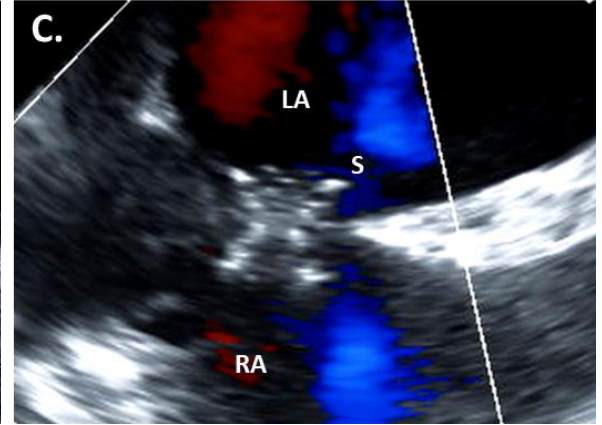
- Shunt patency at 1-3 months: 36/36 (100%)
- 12-month shunt occlusion: 5/36 (14%)
- 12-month shunt stenosis (TEE Color Doppler *vena contracta* in valve region narrowed/skewed): 13/36 (36%)
- No thrombus, no shunt migration, no erosion of adjacent structures



A. Widely Patent Shunt



B. Stenotic Shunt; narrowed/skewed



C. Occluded Shunt

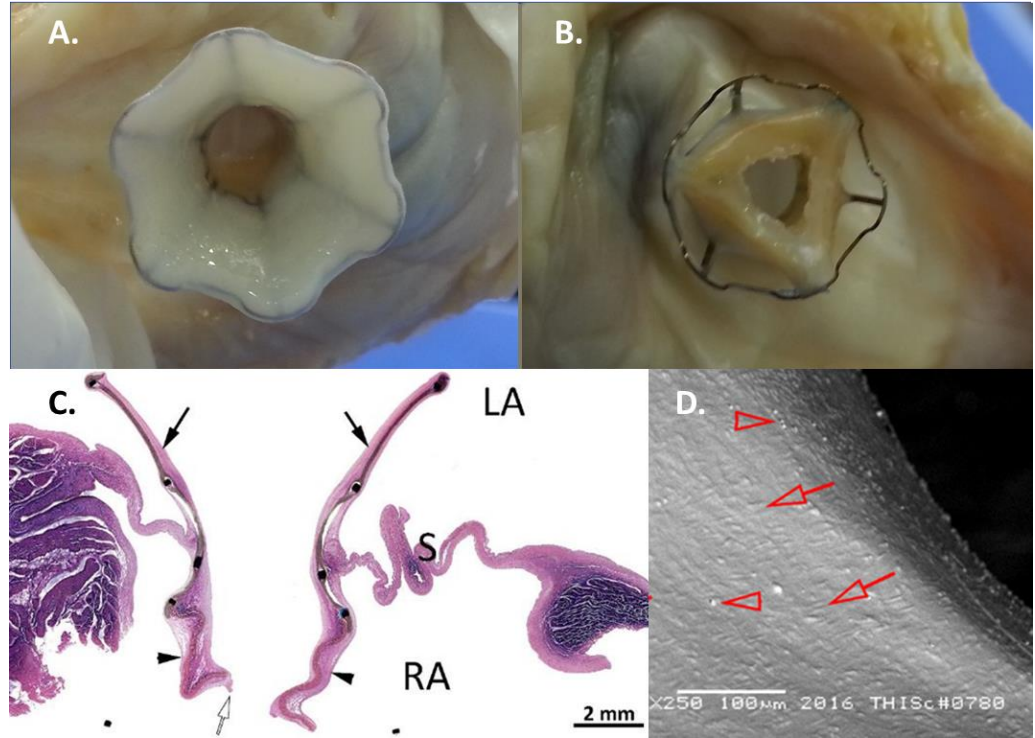
	Patent	Stenotic	p
<b>Vena Contracta</b>	3.3±0.6 mm	1.5±1.5 mm	0.001
<b>Qp:Qs</b>	1.17±0.12 mm	1.05±0.12 mm	0.023



# Pathological Examination (Stenotic Shunt)

2.5 year explant specimen from transplanted patient

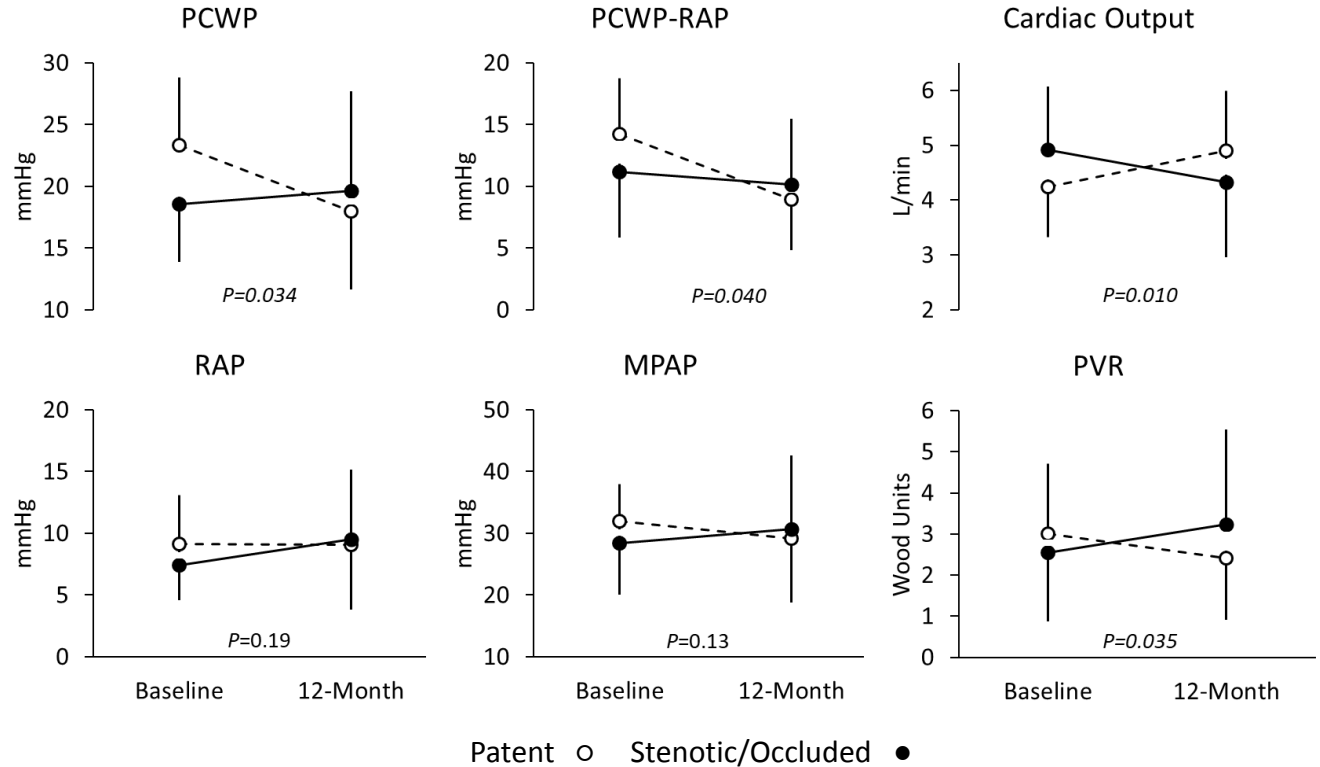
- A. LA view. Orifice widely patent.
- B. RA view. Pannus thickening with stenosis of bioprosthetic leaflets.
- C. Axial Section (H&E). Fibrocellular neoendocardium (pannus) infiltration of leaflets.
- D. SEM. Full endothelialization of lumen (CD31+)



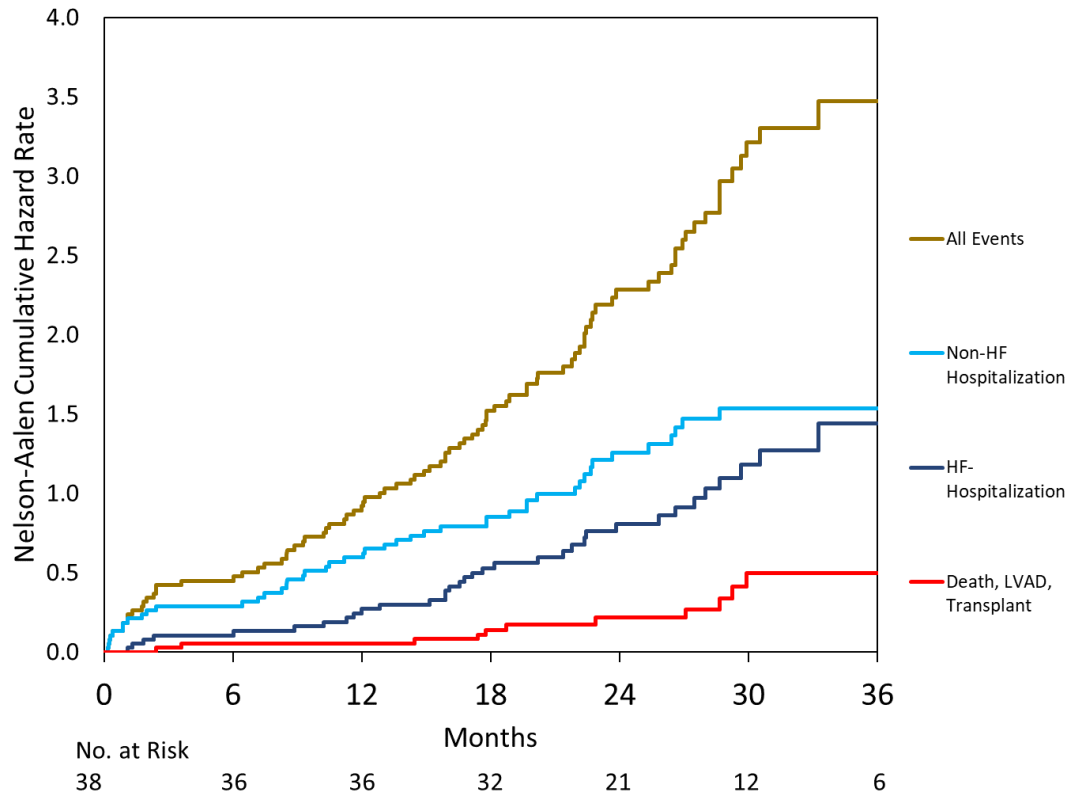
# Hemodynamic Changes Grouped by Shunt Patency at 1-Year Follow-Up

At baseline, patients with patent shunts were:

- Older
- ↑ AF
- ↓ eGFR
- ↓ 6MWT
- ↑ PCWP, ↓ CO

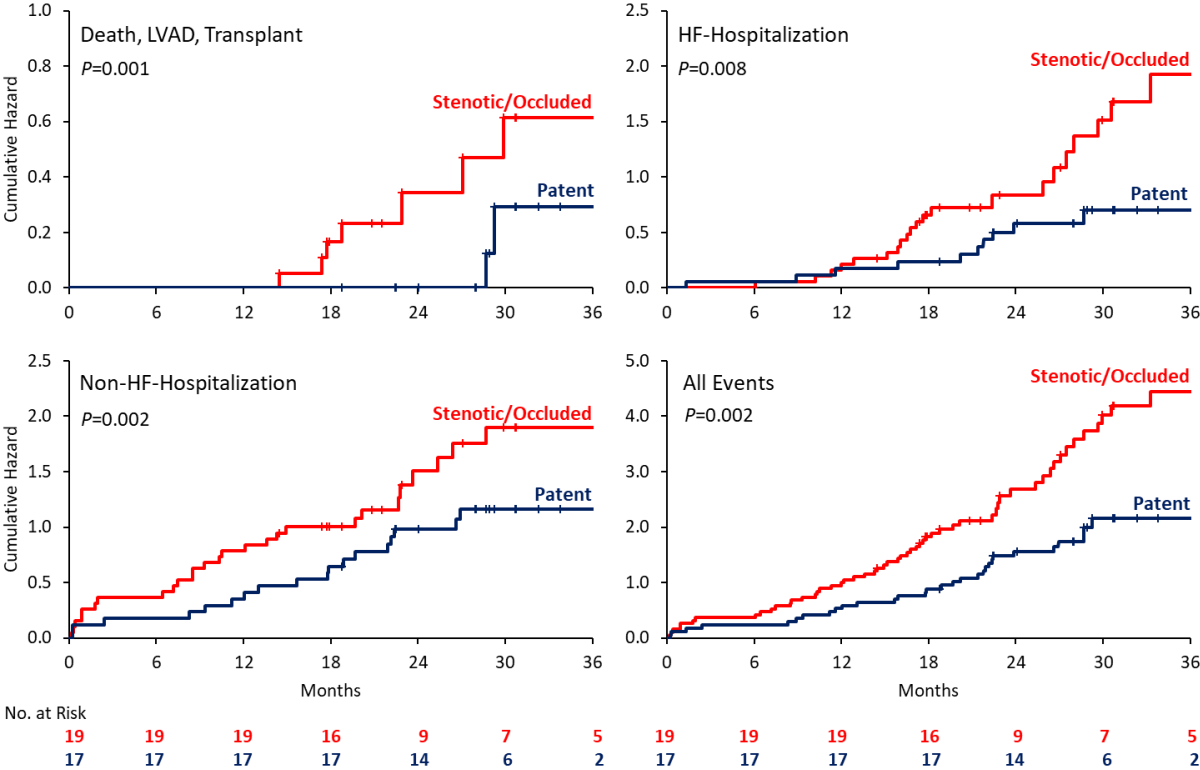


# Cumulative Clinical Events (all patients)

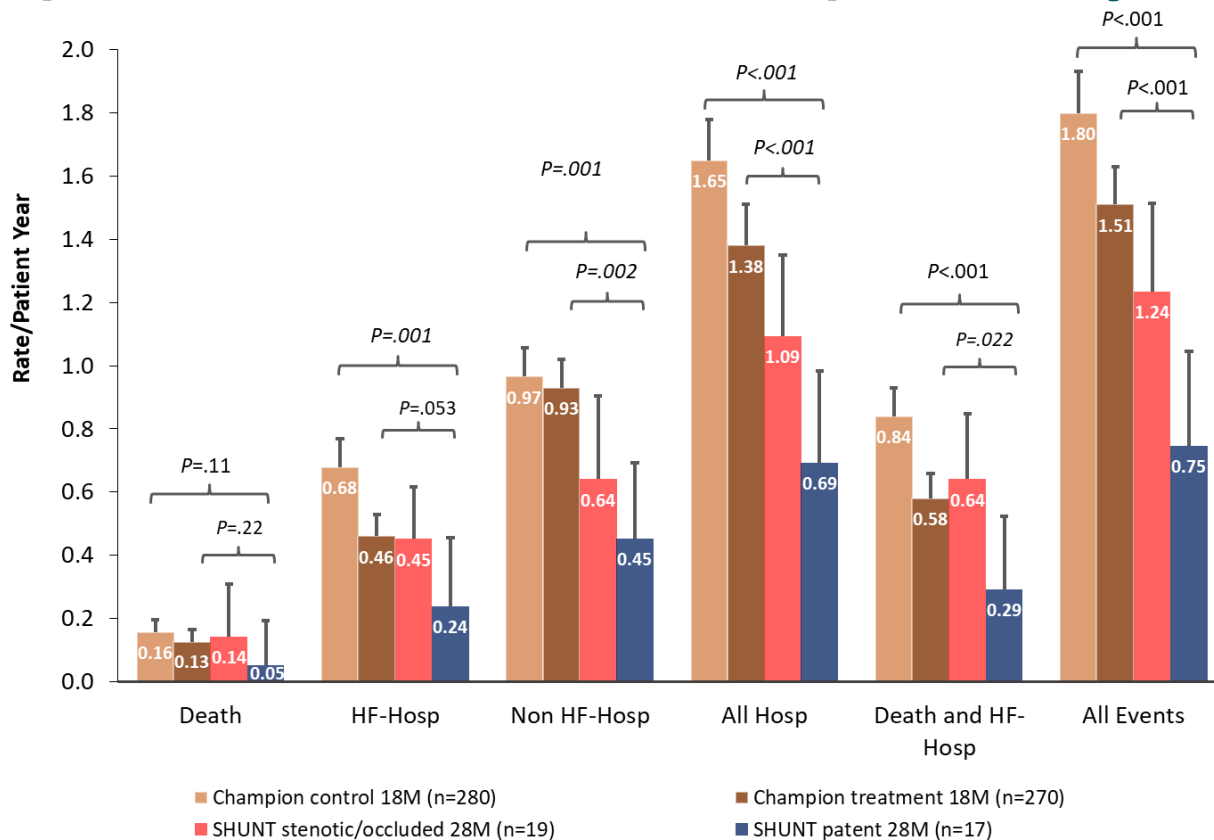




# Long-term Clinical Outcomes Grouped by Shunt Patency



# Comparison with CMEMs Champion Study



- Studies had similar:
- eligibility criteria
  - baseline characteristics including hemodynamics
  - use of medical and device therapies including dosing



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# Conclusions

- Interatrial shunting with the V-Wave system for treating patients with HFrEF and HFpEF was feasible, safe, and associated with promising efficacy data in terms of functional improvement and reduction of cardiovascular events
- There was a high frequency of shunt stenosis/occlusion at 1-year, likely secondary to pannus infiltration of the bioprosthetic leaflets, which associated with poorer hemodynamic and longer-term clinical outcomes
- Shunt patency was associated with sustained low morbidity and mortality
- Implementing modifications to improve device patency over time while maintaining hemodynamic and functional benefits is worthwhile prior to launching a randomized trial to confirm these findings



# Participating Centers / Investigators

- Quebec Heart and Lung Institute, Laval University, Quebec City, Canada
  - Josep Rodés-Cabau, Sebastien Bergeron, Mathieu Bernier
- Hospital Clinico Universitario de Valladolid, Valladolid, Spain
  - Ignacio Amat-Santos
- Rabin Medical Center, Petah Tikva, Israel
  - Tuvia Ben Gal
- Hospital Clinico San Carlos, Madrid, Spain
  - Luis Nombela-Franco
- Hospital Universitari Vall d'Hebron, Barcelona, Spain
  - Bruno Garcia del Blanco
- Rambam Medical Center, Haifa, Israel
  - Arthur Kerner

